

U.S. Army Heritage and Education Center
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Surrender

TACTICAL SURRENDER: INDIVIDUALS & UNITS

A Working Bibliography of MHI Sources

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GENERAL SOURCES

Afflerbach, Holger, & Strachan, Hew, editors. In How Fighting Ends: A History of Surrender. Oxford, England: Oxford, 2012. 473 p. JZ6388.H69.

Hanrahan, Gene Z., & Rossiter, William M. Military Potential of Combat Units Isolated in Enemy Rear Areas. Study, ORO, Johns Hopkins U, Dec 1954. pp. 8-17. U166.74.H36.

Herz, Martin F. "Psychological Warfare against Surrounded Troop Units." Military Review (Aug 1950): pp. 3-9. Per.

Karl, Dennis. Glorious Defiance: Last Stands Throughout History. NY: Paragon, 1990. 237 p. D25K37.
Descriptive & analytical.

Klimow, Matthew S. "The Ethical Dilemma of Surrender." Military Review (Oct 1990): pp. 41-55. Per.

Olson, John E. "No Surrender." Military Review (Mar 1955): pp. 14-19. Per.

Tzabar, Shimon. The White Flag Principle: How to Lose a War and Why. NY: Simon & Schuster, 1972. 150 p. U20.T9.
See Chap 8, "Giving Oneself Up," for facetious analysis.

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U.S. Dept of Army. Office, Provost Marshal Gen. Prisoners of War. 3 vols. Study, Dec 1968.
JX5141.R48.

See Vol. 3, Chap VIII.

See also:

-Bibliographies on Isolated Units in Tactics; War Termination in Surrendering; and POWs.

-Unconditional Surrender

Most American writing on the subject post-dates the Civil War. Among the prewar holdings to explore are tactical manuals, annual War Department General Orders, and Army Regulations. See, for example, the advice about surrendering that appears in the section entitled, "Defense of Fortified Places" on pages 118-120 of the Revised Regulations for the Army of the United States, 1861. See also:

Ambrose, Stephen E. Halleck: Lincoln's Chief of Staff. Baton Rouge: LSU, 1962. 226 p.
E467.1.H18.A8.

Armstrong, Anne. Unconditional Surrender: The Impact of the Casablanca Policy upon World War II.
New Brunswick, NJ: Rutgers, 1961. 304 p. D815.A7.
See Chap 1.

Friedman, Leon, editor. The Law of War. Vol. I. NY: Random House, 1972. pp. 3-146. JX4505.F7v1.

Glover, Michael. The Velvet Glove: The Decline and Fall of Moderation in War. London: Hodder &
Stoughton, 1982. 270 p. U27.G58.
See Chap 16.

Halleck, Henry W. Elements of International Law and Laws of War. Phila: Lippincott, 1872. 380 p.
JX2475.E3.
See Chap XXXIII.

_____. Elements of Military Art and Science..... NY: Appleton, 1862. 449 p. U102.H33.

Hankey, Lord. Politics, Trials and Errors. Chicago: Regnery, 1950. 150 p. D749.H3.
See Chap 2.

Hartigan, Richard S. Lieber's Code and the Law of War. Chicago: Precedent, 1983. 157 p.
JX4507.L53.

Kecskemeti, Paul. Strategic Surrender. Stanford, CA: Stanford, 1959. 287 p. D816K38.
See Chaps 1-2. Primarily concerns WWII.

Walzer, Michael. Just and Unjust Wars. NY: Basic, 1977. 361 p. U21.2W345.
And many other books on the laws and morality of warfare.

-White Flag

The Oxford English Dictionary in covering the origins of the word "white," includes a "white flag" subsection that cites an early usage to mean "surrender" rather than "truce", as follows:

- Sebastian... commanded one of his soldiers to hold up the white colours at his Spears-end, in token of his surrendering. (North's Plutarch, Additional Lives, p. 84, Pub 1676.)

The earliest use in English of the word "flag" to denote an expressed desire to make peace with the enemy (either by truce or surrender) appears in the OED thusly:

- Then the enemies helde up a flagge... This flag was a sign and request of peace. (N. Lichfield, tr. Castanheda's Conq. E. Ind. xlii 98.)

The white flags prescribed by the Geneva Conventions of 1864, 1906, 1929 and 1949 were the heraldic emblem of Switzerland, that is, a red cross on a white background, used as the distinctive emblem of the sanitary services of armies. Reference:

- Friedman, Leon, editor. The Law of War. 2 vols. NY: Random House, 1972. JX4505.F7.

CIVIL WAR

Brown, Russell K. "An Old Woman with a Broomstick: General David Twiggs and the U.S. Surrender in Texas, 1861." Military Affairs (Apr 1984): pp. 57-61. Per.

"Military Surrenders and Paroles." United Service Magazine (May 1865): pp. 456-66. Per.

Pfanz, Harry W. "The Surrender Negotiations between General Johnston and General Sherman, April 1865." Military Affairs (Summer 1952): pp. 61-70. Per.

Pryor, Dayton. The Beginning and the End: The Civil War Story of Federal Surrenders before Fort Sumter and Confederate Surrenders After Appomattox. Bowie, MD: Heritage, 2001. 454 p. E468.9P79.

WORLD WAR I

Kramer, Alan. "Surrender of Soldiers in World War I." In How Fighting Ends: A History of Surrender, cited above. pp. 265-78. JZ6388.H69.

Showalter, Dennis. "By the Book?: Commanders Surrendering in World War I." In How Fighting Ends: A History of Surrender, cited above. pp. 279-98. JZ6388.H69.

Winter, Jay. "The Breaking Point: Surrender 1918." In How Fighting Ends: A History of Surrender, cited above. pp. 299-312. JZ6388.H69.

See also:

-bibliographies on Armistice in World War I-Strategy and Tactics.

WORLD WAR II

Anderson, R.W. "The Capture of Commanding Officer, Company B, 316th Infantry and Surrender of Company K, 361st Infantry (91st Infantry Division) in the Battle of Livergnano, 9-10 October 1944." Ft. Benning, GA: Infantry School monograph, 1949-50. 29 p., 4 maps. #603-361-1949a. Personal experience of commander.

Baylor, Walter L. "Wake Surrender." Marine Corps Gazette (Nov 1945): pp. 2-5. Per. Japanese, 4 Sep 45.

Draper, Theodore. "The Psychology of Surrender." Infantry Journal (Oct 1945): pp. 35-38. Per.

Fry, James C. Combat Soldier. Wash, DC: Natl Press, 1968. p. 339. D811.F76. How Germans surrendered to his regiment at war's end in Italy.

Holt, Thaddeus. "King of Bataan." MHQ (Winter 1994): pp. 32-42. Per. MG Edward King, who surrendered the Bataan forces.

Ito, Masahi. The Emperor's Last Soldiers. NY: Coward-McCann, 1967. 191 p. D811I8332. One of a trio of Japanese holdouts, Guam, who surrendered in 1960, 16 years after the war.

Morton, Louis. "The American Surrender in the Philippines, April-May 1942." Military Review (Aug 1949): pp. 3-14. Per.

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Rowe, Willis C. "Ethics of Surrender." Infantry Journal (Apr 1946): pp. 24-26. Per.
Reflections by US soldier who surrendered to Germans, WWII.

U.S. War Dept. Special Staff. Office of Inspector General. The Case of the Surrender—An Investigation. Instructional text, 1947(?) 124 p. UB856.B72.A3.
Case study of surrender by element of 106th Infantry Division, Ardennes, Dec 1944-
sanitized of actual names and units.

Whiting, Charles. Death of a Division. NY: Stein & Day, 1981. 134 p. #05-106.1981.
106th US Infantry Division Ardennes, WWII.

X, Lt. "Ten Days a Nazi Prisoner." Infantry Journal (May 1944): pp. 30-32. Per.
Tunisian campaign.

See also:

-Bibliographies on Philippines in WWII; and Wake Island in WWII-Pacific Theater-Central Pacific.

SINCE 1945

Brewer, Garry D. "The Surrender Program." Military Review (Oct 1967): pp. 31-36. Per.

Diffendorfer, Jarl J. "Give-Up--Its Good for You." Military Review (Jul 1967): pp. 83-88. Per.

Kahn, Lessing A., & Nierman, Florence K. A Study of Chinese and North Korean Surrenders. ORO,
Tokyo, 5 Sep 1952. DS921.5.P78.K332.

Preston, Harley O., et al. A Study of Ineffective Soldier Performance under Fire in Korea, 1951.
Study, ORO, Oct 1954. 64 p. DS9215.P78.S783.
Chiefly on enemy behavior derived from analyses of POW questionnaires,
interrogations. See pp. 14-20.

U.S. Dept of Army. Procedures for Destruction of Tank-Automotive Equipment to Prevent Enemy Use:
Technical Manual 750-244-6, dated Oct 1972. 25 p. MilPub-TM.

See also:

-Bibliography on Chieu Hoi In Vietnam-Strategy/Programs.